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## FACSIMILE COVER SHEET

Date: October 26, 2005

To: U.S. Patent and Trademark Office  
Attn: Tamra Dicus  
Fax No: (571) 273-8300From: Peter Brunovskis  
Tel. No: (312) 321-4233

Client No: 659-1610

No. of Pages  
(inc. this page): 18

Confirmation Copy To Follow: Yes

No X

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Sarah BirkinbineA Professional Corporation  
Intellectual Property AttorneysNBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4299  
Telephone 312-321-4200Indianapolis, IN  
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## COVER MESSAGE:

Re: U.S. Serial No. 09/815,251

Dear Tamra:

Attached is a courtesy copy of the papers we filed yesterday. The papers include a Supplemental Amendment, a Terminal Disclaimer and an Information Disclosure Statement. We also included with the filed papers a check for the Terminal Disclaimer (\$130) and a check for the Information Disclosure Statement (\$180). Please don't hesitate to call me at 312-321-4233 if you have any further questions.

Regards,

Peter

OCT 26 2005

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: October 25, 2005 Name: Glen P. Belvis, Reg. No. 31,735 Signature: [Signature]

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yihua Chang et al.

Appln. No.: 09/815,251

Filed: March 22, 2001

For: Water-Dispersible, Cationic Polymers, a  
Method of Making Same and Items Using  
Same

Examiner: Tamra Dicus

Art Unit: 1774

Attorney Docket No: 659/1610 (K-C 16529A)

The PTO did not receive the following  
listed item(s):

*NO CHECKS ATTACHED*

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

☒ Supplemental Amendment; Terminal Disclaimer; Sixth Supplemental Information Disclosure Statement; PTO-1449; Reference G40; checks for \$130 and \$180.

☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☒ A processing fee in an amount of \$180 under 37 C.F.R. § 1.17(p).
- ☒ A Terminal Disclaimer fee in the amount of \$130.
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

## Fee payment:

- ☒ Checks in the amount of \$130 and \$180 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date: 10/25/05

Respectfully submitted,

[Signature]  
Glen P. Belvis (Reg. No. 31,735)

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, D.C. 20231, on

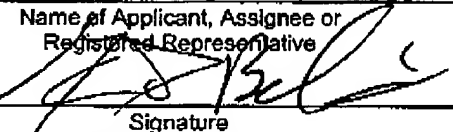
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October 25, 2005

(Date of Deposit)

Glen P. Belvis, Reg. No. 31,735

Name of Applicant, Assignee or  
Registered Representative



Signature

October 25, 2005

Date of Signature

Our File No. 659/1610 (K-C 16529A)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Yihua Chang et al.

Serial No.: 09/815,251

Filing Date: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC  
POLYMERS, A METHOD OF  
MAKING SAME AND ITEMS  
USING SAME

Examiner: Tamra Dicus

Group Art Unit: 1774

**SUPPLEMENTAL AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request that the Examiner reconsider the rejections in view of the following remarks. Please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.